**Visiting Scholar Export Control Worksheet**

This form is required for J1 Visiting Scholars to determine whether or not a license is required with respect to the technology or technical data to which the prospective visitor will have access. **Please note that Iowa State University does not apply for export control licenses for visiting scholars.**

This form applies to all university activities except for activities funded through Ames Lab. Ames Lab has separate policies and procedures for export control compliance and additional Department of Energy requirements for foreign nationals, sensitive subjects, international shipments and foreign travel. Joint projects among faculty and staff conducting work funded through Ames Lab and those who are individually funded through Ames Lab will be governed by Ames Lab policies and procedures.

**Instructions:**

Please answer the questions below and upload the worksheet to the [Visiting Scholar Request Form](https://apps.ideal-logic.com/iowastateriskmanagement?key=XJL4-S8DZ4_K9KH-5PTF_05ab3237).

ORE will upload the worksheet into the system once it is approved. **Applicants MAY NOT fill out this worksheet. Be sure to answer each question as worksheets with missing information will require a worksheet resubmission.**

If you do not understand a question, please contact Matt House at 4-0269 or Brooke Langlitz at 4-7793.

**Answer ALL questions below (REQUIRED):**

Applicant Legal Name:

Applicant’s Source of Funding:

1. Provide a technical description of the research or work the applicant will conduct. Please provide enough information to perform an export control review:
2. Will the applicant have keyed access to any offices or labs?

Yes No

If you answered *Yes* to question #2, please list the building name & room numbers:

1. Is any project on which the applicant will work or any portion of the applicant’s salary funded directly or indirectly by a federal or state government agency?

Yes No

If you answered *Yes* to question #3, answer the following questions:

1. What is the name of the project title, as indicated on the project agreement?

1. What is the Goldsheet or Worktag number associated with the project?

1. Will the applicant be working with defense or national security technologies or weapons?

Yes No

1. Will the applicant be working with technologies relating to spacecraft, satellites, or space-qualified systems?

Yes No

1. Will the applicant be working with encryption software or source code?

Yes No

1. Will the applicant be working with any pathogens or toxins (viruses, rickettsiae, bacteria, toxins or fungi)?

Yes No

If you answered *Yes* to question #7, answer the following questions:

1. What is the name of the item(s) with which the applicant will be working?

1. Will the applicant be developing or producing the item?

Yes No

If you answered *Yes* to question b, answer the following questions:

1. Is information about the methodology the applicant will use to develop or produce the item publicly available?

Yes No

1. Does the applicant intend to publish information regarding the methodology used to develop or produce the item?

Yes No

1. Will the applicant receive or have access to information that is necessary to develop or produce any equipment or software other than standard office equipment (e.g. copier/scanner or COTS computer) and software?

Yes No

If you answered *Yes* to question #8, answer the following questions:

* 1. What is the name of the equipment or software for which the applicant will have such information?
  2. Is the information **free of charge** or available at cost and **publicly available** without signing a nondisclosure agreement?

Yes No

* 1. Does the applicant intend to publish the information?

Yes No

1. Will the applicant receive or have access to information that is necessary to perform ALL of the following on any equipment or software other than standard office equipment (e.g. copier/scanner or COTS computer) and software: operate, install, maintain, repair, overhaul and refurbish?

Yes No

If you answered *Yes* to question #9, answer the following questions:

* 1. What is the name of the equipment or software for which the applicant will have such information?
  2. Is the information **free** **of charge** or available at cost and **publicly available** without signing a nondisclosure agreement?

Yes No

* 1. Is it anticipated that the applicant will publish the information?

Yes No

1. Is any project on which the applicant will work or any portion of the applicant’s salary funded directly or indirectly through a grant or contract that contains a restriction on publication (other than a delay to remove confidential information or protect intellectual property)?

Yes No

1. Is any project on which the applicant will work or any portion of the applicant’s salary funded directly or indirectly through a grant or contract that contains a foreign national restriction?

Yes No

1. Will the applicant be provided access to any Sponsor-provided proprietary or confidential information or ISURF materials/technical information?

Yes No

If you answered *Yes* to question #12, answer the following questions:

* 1. Name and describe the proprietary materials for which the applicant will have such information.
  2. Provide the sponsor’s name and Goldsheet or Worktag number as applicable.

**By submitting this form, I accept and understand that failure to provide accurate information regarding the applicant may result in violations of Iowa State University policy and/or U.S. federal law.**

If the circumstances of the employment or visit change such that a *Yes* answer would be required for any of the foregoing questions, I agree to notify the Office of Research Ethics immediately.

ORE Office Use Only:

With respect to the technology or technical data the petitioner will release or otherwise provide access to the beneficiary, the petitioner certifies that it has reviewed the Export Administration Regulations (EAR) and the International Traffic in Arms Regulations (ITAR) and has determined that a license is not required from either the U.S. Department of Commerce or the U.S. Department of State to release such technology or technical data to the foreign Person.

Reviewed by: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Date: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

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| ORR or Ames Lab EC Office use Only |

Reviewed by: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Date: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

ORR/Ames Lab EC Office